

10/526650

13 SEP 2005

Applicant or Patentee: Kjetil A. NETLAND et al Attorney's
 Application or Patent No. _____ Docket No.: Q-86569
 Confirmation No. _____
 Filed or Issued: March 4, 2005
 For: LIQUID CRYSTAL DOPANTS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27 (c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern
 identified below:

NAME OF CONCERN POLYDISPLAY ASA
Akerholm
Nedre Gokstadvei 11-15
 ADDRESS OF CONCERN 3228 Sandefjord, NORWAY

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **LIQUID CRYSTAL DOPANTS** by inventors **Kjetil A. NETLAND, Maxim V. MITROKHINE, Lorenzo WILLIAMS, Kjetil H. HOLM** and **Fiona J. FARRAND** described in

- ☐ the specification filed herewith
☒ Application No. _____
☐ Patent No. _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL
 NAME _____
 ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS
 CONCERN

☐ NONPROFIT
 ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON

SIGNING

Børre Holter

TITLE IN ORGANIZATION

Senior Vice President & CTO

PolyDisplay ASA

N. Gokstadv 11-15

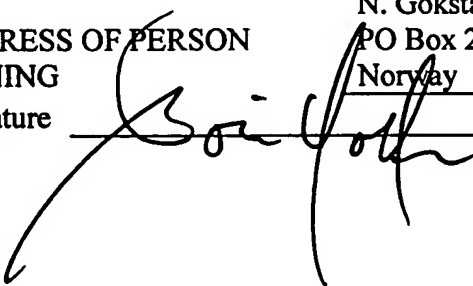
ADDRESS OF PERSON

PO Box 240 N3201 Sandefjord

SIGNING

Norway

Signature



Date

May 24, 2005

Applicant or Patentee: Kjetil A. NETLAND et al Attorney's
Application or Patent No. _____ Docket No.: Q-86569
Confirmation No. _____
Filed or Issued: March 4, 2005
For: LIQUID CRYSTAL DOPANTS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27 (c)) -- SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern
identified below:

NAME OF CONCERN POLYDISPLAY ASA
Akerholm
Nedre Gokstadvei 11-15
ADDRESS OF CONCERN 3228 Sandefjord, NORWAY

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **LIQUID CRYSTAL DOPANTS** by inventors **Kjetil A. NETLAND, Maxim V. MITROKHINE, Lorenzo WILLIAMS, Kjetil H. HOLM and Fiona J. FARRAND** described in

- ☐ the specification filed herewith
☒ Application No. _____
☐ Patent No. _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL
NAME _____
ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS
CONCERN

☐ NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON

SIGNING

Børre Holter

TITLE IN ORGANIZATION

Senior Vice President & CTO

PolyDisplay ASA

N. Gokstadv 11-15

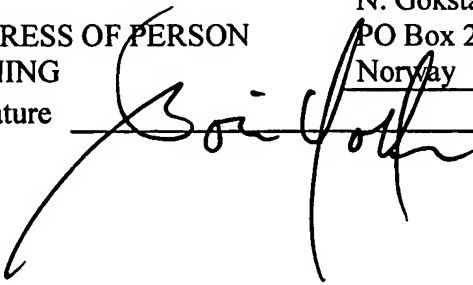
ADDRESS OF PERSON

PO Box 240 N3201 Sandefjord

SIGNING

Norway

Signature



Date

May 24, 2005

13 SEP 2005

Docket No.: Q-86569

DECLARATION AND POWER OF ATTORNEY

201526650

As below named inventors, We hereby declare that: Our residence, mailing address, and citizenship are as stated below next to our names. We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought in the invention entitled:

LIQUID CRYSTAL DOPANTS

the application of which

☐ is attached hereto

OR

☒ was filed on March 4, 2005, as United States Application Number or PCT International Application Number PCT/GB2003/003807 (Conf. No. _____), and was amended on March 4, 2005 (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part application(s), material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

We hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Application Number(s)

Country

Filing Date

Priority Claimed

Yes

No

0220874.2

GREAT BRITAIN

September 9, 2002

☒☐

We hereby claim benefit under 35 United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date

We hereby claim benefit under 35 United States Code §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, We acknowledge our duty to disclose any information material to the patentability of this application as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. or International Application Number(s)

U.S. or International Filing Date

Status

We hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as our attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:			
Given Name (first and middle (if any)) <u>Kjetil A.</u>		Family Name or Surname <u>NETLAND</u>	
Inventor's Signature <u>Kjetil A. Netland</u>		Date <u>04.04.2005</u>	
Residence: City	State <u>Flekkefjord</u>	Country <u>NORWAY</u> <u>NX</u>	Citizenship <u>Norwegian</u>
Mailing Address: <u>Sundegata 3a</u>			
City	State <u>Flekkefjord</u>	Zip <u>4400</u>	Country <u>NORWAY</u>
NAME OF SECOND INVENTOR:			
Given Name (first and middle (if any)) <u>Maxim V.</u>		Family Name or Surname <u>MITROKHINE</u>	
Inventor's Signature <u>[Signature]</u>		Date <u>30.03.2005</u>	
Residence: City	State <u>Saratov</u>	Country <u>RUSSIA</u> <u>RUX</u>	Citizenship <u>Russian</u>
Mailing Address: <u>Bulvarnaya 4, Apt. 5</u>			
City	State <u>Saratov</u>	Zip <u>410044</u>	Country <u>RUSSIA</u>
NAME OF THIRD INVENTOR:			
Given Name (first and middle (if any)) <u>Lorenzo</u>		Family Name or Surname <u>WILLIAMS</u>	
Inventor's Signature <u>Lorenzo Williams</u>		Date <u>10th May 2005</u>	
Residence: City	State <u>Oslo</u>	Country <u>NORWAY</u> <u>NX</u>	Citizenship <u>United Kingdom</u>
Mailing Address: <u>Grindbakken 7</u>			
City	State <u>Oslo</u>	Zip <u>0764</u>	Country <u>NORWAY</u>
NAME OF FOURTH INVENTOR:			
Given Name (first and middle (if any)) <u>Kjetil H.</u>		Family Name or Surname <u>HOLM</u>	
Inventor's Signature <u>Kjetil H. Holm</u>		Date <u>2005-05-13</u>	
Residence: City	State <u>Oslo</u>	Country <u>NORWAY</u> <u>NX</u>	Citizenship <u>Norwegian</u>
Mailing Address: <u>Kirkeveien 39A</u>			
City	State <u>Oslo</u>	Zip <u>0368</u>	Country <u>NORWAY</u>
NAME OF FIFTH INVENTOR:			
Given Name (first and middle (if any)) <u>Fiona L.</u>		Family Name or Surname <u>FARRAND</u>	
Inventor's Signature <u>Fiona Farrand</u>		Date <u>21st May 2005</u>	
Residence: City	State <u>Oslo</u>	Country <u>NORWAY</u> <u>NX</u>	Citizenship <u>United Kingdom</u>
Mailing Address: <u>Fallanveien 1</u>			
City	State <u>Oslo</u>	Zip <u>0495</u>	Country <u>NORWAY</u>